

# Les axiomes de forcing

Mirna Džamonja

IRIF (CNRS-Université de Paris-Cité)

le 31 mars, 2023

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Nevertheless, there exist simple and well known methods to avoid this logical difficulty when discussing forcing, either by considering models of some large enough fragment ZFC\* or assuming a bit of large cardinals.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Nevertheless, there exist simple and well known methods to avoid this logical difficulty when discussing forcing, either by considering models of some large enough fragment ZFC\* or assuming a bit of large cardinals. Therefore we shall simply do the usual, ignore this point and concentrate on the mathematical points.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Nevertheless, there exist simple and well known methods to avoid this logical difficulty when discussing forcing, either by considering models of some large enough fragment ZFC\* or assuming a bit of large cardinals. Therefore we shall simply do the usual, ignore this point and concentrate on the mathematical points. The talk of Matteo will give you some more details on the logical side.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some under the carpet preliminaries

In this talk, we shall be talking about various *models* of set theory and changing them by forcing. For us, set theory is the one axiomatised by ZFC. By Gödel's Incompleteness theorem, we cannot prove the consistency of ZFC while arguing in ZFC. Hence, by Gödel's Completeness Theorem for FO logic, we cannot prove in ZFC the existence of a model of ZFC.

Nevertheless, there exist simple and well known methods to avoid this logical difficulty when discussing forcing, either by considering models of some large enough fragment ZFC\* or assuming a bit of large cardinals.

Therefore we shall simply do the usual, ignore this point and concentrate on the mathematical points. The talk of Matteo will give you some more details on the logical side.

(We'll however honour this point by often saying *universe* or set theory, in place of model.)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as “every ccc Boolean algebra of size  $< \aleph_c$  supports a measure”.



# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as “every ccc Boolean algebra of size  $< \aleph_1$  supports a measure”. For such more involved statements we need to use iterated forcing.

# Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as “every ccc Boolean algebra of size  $< \aleph_1$  supports a measure”. For such more involved statements we need to use iterated forcing.

To have the right picture in mind, imagine that in fact we have some large model  $\mathbf{V}$  of ZFC in which we have isolated another small (in fact, countable) model  $M$ , and now we are changing  $M$  by adding some objects that are not in  $M$  but are in  $\mathbf{V}$ .

## Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as “every ccc Boolean algebra of size  $< \aleph_1$  supports a measure”. For such more involved statements we need to use iterated forcing.

To have the right picture in mind, imagine that in fact we have some large model  $\mathbf{V}$  of ZFC in which we have isolated another small (in fact, countable) model  $M$ , and now we are changing  $M$  by adding some objects that are not in  $M$  but are in  $\mathbf{V}$ . For example, we add a new subset of  $\omega$ =the set of natural numbers.

## Forcing and iterated forcing

Forcing is a technique to extend a universe  $M$  of set theory=ZFC to another one,  $M[G]$ , so that  $M[G]$

- has the same ordinals
- (most often) has the same cardinals, i.e. the same truth of “I am a cardinal” over the ordinals.
- satisfies a desired formula  $\varphi$ .

For example,  $\varphi$  could be the failure of CH, or something more involved such as “every ccc Boolean algebra of size  $< \aleph_1$  supports a measure”. For such more involved statements we need to use iterated forcing.

To have the right picture in mind, imagine that in fact we have some large model  $\mathbf{V}$  of ZFC in which we have isolated another small (in fact, countable) model  $M$ , and now we are changing  $M$  by adding some objects that are not in  $M$  but are in  $\mathbf{V}$ . For example, we add a new subset of  $\omega$ =the set of natural numbers. **Important:**  $\mathbf{V}$  has the knowledge that  $M$  is countable, but  $M$  internally does not.

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

$$\mathcal{D}_g = \{p \in \mathbb{P} : (\exists n \in \text{dom}(p))(p(n) \neq g(n))\}.$$

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

$$\mathcal{D}_g = \{p \in \mathbb{P} : (\exists n \in \text{dom}(p))(p(n) \neq g(n))\}.$$

Each such  $\mathcal{D}_g$  is *dense* i.e every  $p \in \mathbb{P}$  has an extension in  $\mathcal{D}_g$ .

# Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

$$\mathcal{D}_g = \{p \in \mathbb{P} : (\exists n \in \text{dom}(p))(p(n) \neq g(n))\}.$$

Each such  $\mathcal{D}_g$  is *dense* i.e every  $p \in \mathbb{P}$  has an extension in  $\mathcal{D}_g$ . For  $n < \omega$  let

## Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

$$\mathcal{D}_g = \{p \in \mathbb{P} : (\exists n \in \text{dom}(p))(p(n) \neq g(n))\}.$$

Each such  $\mathcal{D}_g$  is *dense* i.e every  $p \in \mathbb{P}$  has an extension in  $\mathcal{D}_g$ . For  $n < \omega$  let

$$E_n = \{p \in \mathbb{P} : n \in \text{dom}(p)\}.$$

## Subsets of $\omega$ : Cohen forcing

Suppose that we want to add to  $M$  a subset  $A$  of  $\omega$  which is new to  $M$ . We define the set of finite approximations of the characteristic function of  $A$ :

$$\mathbb{P} = \{p : \text{finite partial function from } \omega \rightarrow 2 = \{0, 1\}\},$$

and we partially order  $\mathbb{P}$  by  $\subseteq$ .

For every  $g : \omega \rightarrow 2$  that is in  $M$ , consider

$$\mathcal{D}_g = \{p \in \mathbb{P} : (\exists n \in \text{dom}(p))(p(n) \neq g(n))\}.$$

Each such  $\mathcal{D}_g$  is *dense* i.e every  $p \in \mathbb{P}$  has an extension in  $\mathcal{D}_g$ . For  $n < \omega$  let

$$E_n = \{p \in \mathbb{P} : n \in \text{dom}(p)\}.$$

These are also dense. From the point of view of  $\mathbf{V}$ , all together we have countably many dense sets.

# Cohen forcing continued

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*).

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Cohen forcing continued

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Les axiomes de forcing

Mirna Džamonja

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Note that  $\bigcup G$  is a function from  $\omega \rightarrow 2$  which is not in  $M$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Les axiomes de forcing

Mirna Džamonja

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Note that  $\bigcup G$  is a function from  $\omega \rightarrow 2$  which is not in  $M$ .

The method of forcing gives us a model  $M[G]$  which is a model that contains  $G$  (and hence  $\bigcup G$ ) as elements,

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Les axiomes de forcing

Mirna Džamonja

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Note that  $\bigcup G$  is a function from  $\omega \rightarrow 2$  which is not in  $M$ .

The method of forcing gives us a model  $M[G]$  which is a model that contains  $G$  (and hence  $\bigcup G$ ) as elements, and  $M$  as a subset.

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Les axiomes de forcing

Mirna Džamonja

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Note that  $\bigcup G$  is a function from  $\omega \rightarrow 2$  which is not in  $M$ .

The method of forcing gives us a model  $M[G]$  which is a model that contains  $G$  (and hence  $\bigcup G$ ) as elements, and  $M$  as a subset.

**Important:**  $\omega$  is definable from the axioms of ZFC, so all models of ZFC have the same  $\omega$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Cohen forcing continued

Les axiomes de forcing

Mirna Džamonja

Applying reasoning similar to that in the proof of Baire Category Theorem, we can guarantee that in  $\mathbf{V}$  there is  $G \subseteq \mathbb{P}$  which is closed downwards, where each two elements have an upper bound and which intersects all the above mentioned dense sets. (We call it a *generic filter*). This is like induction, but over a *partially* ordered set.

Note that  $\bigcup G$  is a function from  $\omega \rightarrow 2$  which is not in  $M$ .

The method of forcing gives us a model  $M[G]$  which is a model that contains  $G$  (and hence  $\bigcup G$ ) as elements, and  $M$  as a subset.

**Important:**  $\omega$  is definable from the axioms of ZFC, so all models of ZFC have the same  $\omega$ . Hence  $\bigcup G$  is a bona fide new to  $M$  subset of  $\omega$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*. Since  $|\mathcal{P}(A)| > |A|$  for every set  $A$ , for every cardinal  $\kappa$  there are cardinals  $> \kappa$ , and the first such is called  $\kappa^+$  = the successor.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*. Since  $|\mathcal{P}(A)| > |A|$  for every set  $A$ , for every cardinal  $\kappa$  there are cardinals  $> \kappa$ , and the first such is called  $\kappa^+$  = the successor.  $\aleph_0$  is the cardinality of  $\omega$ , its successor is  $\aleph_1$  (which is the ordinal  $\omega_1$ ),

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*. Since  $|\mathcal{P}(A)| > |A|$  for every set  $A$ , for every cardinal  $\kappa$  there are cardinals  $> \kappa$ , and the first such is called  $\kappa^+$  = the successor.  $\aleph_0$  is the cardinality of  $\omega$ , its successor is  $\aleph_1$  (which is the ordinal  $\omega_1$ ), and  $|\mathcal{P}(\omega)| = 2^{\aleph_0}$ .

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*. Since  $|\mathcal{P}(A)| > |A|$  for every set  $A$ , for every cardinal  $\kappa$  there are cardinals  $> \kappa$ , and the first such is called  $\kappa^+$  = the successor.  $\aleph_0$  is the cardinality of  $\omega$ , its successor is  $\aleph_1$  (which is the ordinal  $\omega_1$ ), and  $|\mathcal{P}(\omega)| = 2^{\aleph_0}$ . So  $2^{\aleph_0} \geq \aleph_1$ .

# Continuum Hypothesis, CH

Continuum Hypothesis in thermodynamics “Thus the continuum hypothesis allows us to replace the thermodynamic quantities by corresponding thermodynamic fields that are continuous functions of space and time.”

Our Continuum Hypothesis comes from Cantor 1878:

Ordinals are linearly ordered and every non-empty family of ordinals has the least element. An ordinal is a *cardinal* if it is not bijective with any smaller ordinal. Every set is bijective with a cardinal, its *cardinality*. Since  $|\mathcal{P}(A)| > |A|$  for every set  $A$ , for every cardinal  $\kappa$  there are cardinals  $> \kappa$ , and the first such is called  $\kappa^+$  = the successor.  $\aleph_0$  is the cardinality of  $\omega$ , its successor is  $\aleph_1$  (which is the ordinal  $\omega_1$ ), and  $|\mathcal{P}(\omega)| = 2^{\aleph_0}$ . So  $2^{\aleph_0} \geq \aleph_1$ .

$$CH : 2^{\aleph_0} = \aleph_1.$$



# Independence of CH

CH is independent of ZFC.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Independence of CH

CH is independent of ZFC. That is, ZFC can't prove it or refute it.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Independence of CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

CH is independent of ZFC. That is, ZFC can't prove it or refute it. Like the 5th postulate of Euclid with respect to the other axioms of geometry ...

# Independence of CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

CH is independent of ZFC. That is, ZFC can't prove it or refute it. Like the 5th postulate of Euclid with respect to the other axioms of geometry ...

(Gödel 1938) If ZFC is consistent, then so is ZFC +CH.

# Independence of CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

CH is independent of ZFC. That is, ZFC can't prove it or refute it. Like the 5th postulate of Euclid with respect to the other axioms of geometry ...

(Gödel 1938) If ZFC is consistent, then so is ZFC +CH.  
(the constructible universe)

# Independence of CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

CH is independent of ZFC. That is, ZFC can't prove it or refute it. Like the 5th postulate of Euclid with respect to the other axioms of geometry ...

(Gödel 1938) If ZFC is consistent, then so is ZFC + CH.  
(the constructible universe)

(Cohen 1963) If ZFC is consistent, then so is ZFC +  $\neg$  CH.

# Independence of CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

CH is independent of ZFC. That is, ZFC can't prove it or refute it. Like the 5th postulate of Euclid with respect to the other axioms of geometry ...

(Gödel 1938) If ZFC is consistent, then so is ZFC + CH.  
(the constructible universe)

(Cohen 1963) If ZFC is consistent, then so is ZFC +  $\neg$  CH.

The method of forcing was invented to prove the latter result.

# Violating CH

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Violating CH

Les axiomes de forcing

Mirna Džamonja

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

$$\mathbb{Q} = \{q : q \text{ a finite partial function from } \omega_2 \times \omega \rightarrow 2\},$$

partially ordered by  $\subseteq$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Violating CH

Les axiomes de forcing

Mirna Džamonja

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

$$\mathbb{Q} = \{q : q \text{ a finite partial function from } \omega_2 \times \omega \rightarrow 2\},$$

partially ordered by  $\subseteq$ .

Now a generic adds a new function from  $\omega_2 \times \omega$  to 2,

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Violating CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

$$\mathbb{Q} = \{q : q \text{ a finite partial function from } \omega_2 \times \omega \rightarrow 2\},$$

partially ordered by  $\subseteq$ .

Now a generic adds a new function from  $\omega_2 \times \omega$  to 2, which can be seen as  $\aleph_2^M$  many new functions from  $\omega$  to 2.

# Violating CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

$$\mathbb{Q} = \{q : q \text{ a finite partial function from } \omega_2 \times \omega \rightarrow 2\},$$

partially ordered by  $\subseteq$ .

Now a generic adds a new function from  $\omega_2 \times \omega$  to 2, which can be seen as  $\aleph_2^M$  many new functions from  $\omega$  to 2.

So in  $M[G]$  the size of  $\mathcal{P}(\omega)$  is at least  $\aleph_2^M$ .

# Violating CH

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We shall change  $\mathbb{P}$  a bit so to add  $\aleph_2^M$  many new subsets to  $\omega$ .

$$\mathbb{Q} = \{q : q \text{ a finite partial function from } \omega_2 \times \omega \rightarrow 2\},$$

partially ordered by  $\subseteq$ .

Now a generic adds a new function from  $\omega_2 \times \omega$  to 2, which can be seen as  $\aleph_2^M$  many new functions from  $\omega$  to 2.

So in  $M[G]$  the size of  $\mathcal{P}(\omega)$  is at least  $\aleph_2^M$ . Are we done?

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique,

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals*

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals.

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals. Hence  $\aleph_2^{M[G]} = \aleph_2^M$  and we are done. ★

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals. Hence  $\aleph_2^{M[G]} = \aleph_2^M$  and we are done. ★

One could have done this with an arbitrarily large value for  $2^{\aleph_0}$ .

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals. Hence  $\aleph_2^{M[G]} = \aleph_2^M$  and we are done. ★

One could have done this with an arbitrarily large value for  $2^{\aleph_0}$ . So ZFC does not decide even an upper bound for  $2^{\aleph_0}$ .

# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals. Hence  $\aleph_2^{M[G]} = \aleph_2^M$  and we are done. ★

One could have done this with an arbitrarily large value for  $2^{\aleph_0}$ . So ZFC does not decide even an upper bound for  $2^{\aleph_0}$ . Lévy and Solovay (1967) proved that adding large cardinals to ZFC does not help either.



# Preserving cardinals

We would be done if knew that  $\aleph_2^{M[G]} = \aleph_2^M$ . We did not have to worry about this regarding  $\omega$ , as it is definable and unique, but not so the other cardinals !

We say that the forcing notion (the partial order we used to force) *preserves cardinals* if the ordinals that are cardinals from the point of view of  $M$  remain cardinals in  $M[G]$  (i.e.  $M[G]$  still does not see what  $\mathbf{V}$  sees, that  $M$  is a cheat :-).

Cohen forcing preserves cardinals. Hence  $\aleph_2^{M[G]} = \aleph_2^M$  and we are done. ★

One could have done this with an arbitrarily large value for  $2^{\aleph_0}$ . So ZFC does not decide even an upper bound for  $2^{\aleph_0}$ . Lévy and Solovay (1967) proved that adding large cardinals to ZFC does not help either. The theory of  $\mathcal{P}(\omega)$  is not fixed by the axioms.

# Countable Chain Condition (ccc)

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions)

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions) such that no two distinct ones have an upper bound.

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions) such that no two distinct ones have an upper bound.

The name ccc comes from an interpretation in terms of Boolean algebras and their Stone spaces.

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions) such that no two distinct ones have an upper bound.

The name ccc comes from an interpretation in terms of Boolean algebras and their Stone spaces. In topology, a space has ccc if it has no uncountable family of pairwise disjoint non-empty sets.

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions) such that no two distinct ones have an upper bound.

The name ccc comes from an interpretation in terms of Boolean algebras and their Stone spaces. In topology, a space has ccc if it has no uncountable family of pairwise disjoint non-empty sets.

ccc forcing preserves cardinals.

# Countable Chain Condition (ccc)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

The reason that Cohen forcing preserves cardinals is that it has ccc : all *antichains* are countable. In the theory of forcing an antichain is a set of elements of the forcing notion (conditions) such that no two distinct ones have an upper bound.

The name ccc comes from an interpretation in terms of Boolean algebras and their Stone spaces. In topology, a space has ccc if it has no uncountable family of pairwise disjoint non-empty sets.

ccc forcing preserves cardinals.



# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines. Take Suslin lines one by one and add a countable dense set

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines. Take Suslin lines one by one and add a countable dense set (the actual proof is somewhat different).

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines. Take Suslin lines one by one and add a countable dense set (the actual proof is somewhat different). For each line, change the universe to a forcing extension that adds such a set.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines. Take Suslin lines one by one and add a countable dense set (the actual proof is somewhat different). For each line, change the universe to a forcing extension that adds such a set. Hence we need to **iterate** forcing.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Changing properties of objects in $M$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Cantor: The reals are characterised as being a dense complete separable linear order with no first or last element. Suslin (1920) asked if one can weaken the condition of separability to ccc. A putative counterexample became known as a Suslin line.

Jech (1967) added a Suslin line by forcing. There is a Suslin line in the constructible universe  $\mathbf{L}$  (Jensen, 1972).

Solovay and Tennenbaum (1971) realised the potential of forcing in trying to construct a model in which there are **no** Suslin lines. Take Suslin lines one by one and add a countable dense set (the actual proof is somewhat different). For each line, change the universe to a forcing extension that adds such a set. Hence we need to **iterate** forcing.

This is not easy and cannot be done in a naive way ...

# Iteration

The naive way would be to take unions of extensions :

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

However, Solovay and Tennenbaum found a way to define *an iterated forcing notion* - kind of a long product with finite supports,

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

However, Solovay and Tennenbaum found a way to define *an iterated forcing notion* - kind of a long product with finite supports, so that it gives one forcing notion and hence one extension, which preserves ZFC.



# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

However, Solovay and Tennenbaum found a way to define *an iterated forcing notion* - kind of a long product with finite supports, so that it gives one forcing notion and hence one extension, which preserves ZFC.

There still remained several points - no new Suslin lines arise (handled by clever bookkeeping) +

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

However, Solovay and Tennenbaum found a way to define *an iterated forcing notion* - kind of a long product with finite supports, so that it gives one forcing notion and hence one extension, which preserves ZFC.

There still remained several points - no new Suslin lines arise (handled by clever bookkeeping) + cardinals are preserved.

# Iteration

The naive way would be to take unions of extensions :

$$M \subseteq M[G_0] \subseteq M[G_0][G_1] \dots$$

The union of such a sequence would in general not satisfy ZFC.

However, Solovay and Tennenbaum found a way to define *an iterated forcing notion* - kind of a long product with finite supports, so that it gives one forcing notion and hence one extension, which preserves ZFC.

There still remained several points - no new Suslin lines arise (handled by clever bookkeeping) + cardinals are preserved.

## Theorem

(Solovay, Tennenbaum 1971) *An iteration of ccc forcing with finite supports is ccc.*

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ ,

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Under CH, MA is true.

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Under CH, MA is true. It is consistent to have  $MA_+ \neg CH$ ,

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Under CH, MA is true. It is consistent to have  $MA_{+\neg}CH$ , as one can prove using an iteration of ccc forcing.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Under CH, MA is true. It is consistent to have  $MA + \neg CH$ , as one can prove using an iteration of ccc forcing. MA does not decide the value of  $2^{\aleph_0}$ , since there are models of MA and the arbitrarily large value of  $2^{\aleph_0}$ .

# Use iterated forcing without doing the iteration

Using iterated forcing directly is rather challenging. At seeing the Solovay-Tennenbaum paper, Martin had the idea that one could do more for the same price. Rather than iterating forcings that destroy Suslin lines, iterate all possible ccc forcing. So, the final universe will have generics for all of them. This is the rough idea behind the proof of the consistency of

**Martin's Axiom (MA)** : For every ccc forcing notion  $\mathbb{P}$  and every family  $\mathfrak{F}$  of  $< \mathfrak{c}$  many dense sets in  $\mathbb{P}$ , there is a filter in  $\mathbb{P}$  which intersects all elements of  $\mathfrak{F}$ .

Under CH, MA is true. It is consistent to have  $MA + \neg CH$ , as one can prove using an iteration of ccc forcing. MA does not decide the value of  $2^{\aleph_0}$ , since there are models of MA and the arbitrarily large value of  $2^{\aleph_0}$ .

Using  $MA + \neg CH$  set theorists and non-set theorists have proved a variety of consistency results, mostly about  $\mathcal{P}(\omega)$  and  $\mathcal{P}(\omega_1)$ .



31yk4LxV7rL\_BO2,204,203,200\_Plsitb-sticker-arrow-click,TopRight,35,-76\_AA300\_SH20\_OU01

Click to **LOOK INSIDE!**



CAMBRIDGE TRACTS IN MATHEMATICS

**84**

**CONSEQUENCES OF  
MARTIN'S AXIOM**

D. H. FREMLIN



CAMBRIDGE UNIVERSITY PRESS

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ? It is a postulate that

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ? It is a postulate that

- postulates additional properties of the universe, namely that is closed under taking certain forcing extensions and

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ? It is a postulate that

- postulates additional properties of the universe, namely that is closed under taking certain forcing extensions and
- is not contradictory to the axioms of ZFC.

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ? It is a postulate that

- postulates additional properties of the universe, namely that is closed under taking certain forcing extensions and
- is not contradictory to the axioms of ZFC.

It could be taken as an extra axiom, but there is no reason to prefer this axiom over its opposite.

# Is this an axiom ?

In what sense is Martin's Axiom an axiom ? It is a postulate that

- postulates additional properties of the universe, namely that is closed under taking certain forcing extensions and
- is not contradictory to the axioms of ZFC.

It could be taken as an extra axiom, but there is no reason to prefer this axiom over its opposite. Forcing axioms can do better than that, let us see.

# In the absence of ccc

There are nice forcings that preserve cardinals,

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

**PFA**

And now for something completely different

How about  $\omega_2$ , or something larger



# In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

**PFA**

And now for something completely different

How about  $\omega_2$ , or something larger

# In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

**PFA**

And now for something completely different

How about  $\omega_2$ , or something larger

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

**Properness** is a property that guarantees that  $\omega_1$  is preserved.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

**Properness** is a property that guarantees that  $\omega_1$  is preserved.

### Theorem

*(Shelah 1980) Properness is preserved under countable support iterations.*

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

**Properness** is a property that guarantees that  $\omega_1$  is preserved.

### Theorem

*(Shelah 1980) Properness is preserved under countable support iterations.*

**PFA** The same as MA but with “ccc” replaced by proper and “ $< \mathfrak{c}$ ” with  $\aleph_1$  dense sets.

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

**Properness** is a property that guarantees that  $\omega_1$  is preserved.

### Theorem

*(Shelah 1980) Properness is preserved under countable support iterations.*

**PFA** The same as MA but with “ccc” replaced by proper and “ $< \mathfrak{c}$ ” with  $\aleph_1$  dense sets.

[Why  $\omega_1$  ? Todorčević and Veličković proved that PFA implies  $\mathfrak{c} = \aleph_2$ .]

## In the absence of ccc

There are nice forcings that preserve cardinals, yet they are not ccc. For example, adding a Sacks real. To iterate those we need a more involved notion.

**Properness** is a property that guarantees that  $\omega_1$  is preserved.

### Theorem

*(Shelah 1980) Properness is preserved under countable support iterations.*

**PFA** The same as MA but with “ccc” replaced by proper and “ $< \mathfrak{c}$ ” with  $\aleph_1$  dense sets.

[Why  $\omega_1$  ? Todorčević and Veličković proved that PFA implies  $\mathfrak{c} = \aleph_2$ .]

### Theorem

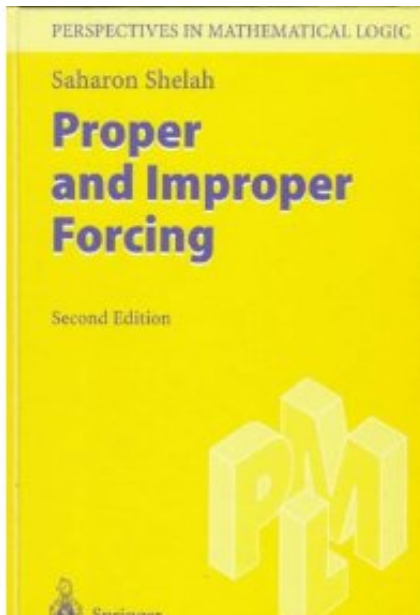
*(Baumgartner 1984) Modulo a supercompact cardinal, PFA is consistent.*



416NGXCBCNL\_SL500\_AA300\_.jpg 300 × 300 pixels

Les axiomes de  
forcing

Mirna Džamonja



# Some facts about proper forcing

Proper forcing cannot be iterated with finite supports in the sense of Solovay-Tennenbaum.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some facts about proper forcing

Les axiomes de forcing

Mirna Džamonja

Proper forcing cannot be iterated with finite supports in the sense of Solovay-Tennenbaum.

The iteration theorem for countable supports of proper forcing is much more involved than the one for finite supports of ccc forcing.

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Some facts about proper forcing

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Proper forcing cannot be iterated with finite supports in the sense of Solovay-Tennenbaum.

The iteration theorem for countable supports of proper forcing is much more involved than the one for finite supports of ccc forcing.

Proper forcing of size  $\aleph_1$  (or with strong  $\aleph_2$ -cc) properties

# Some facts about proper forcing

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Proper forcing cannot be iterated with finite supports in the sense of Solovay-Tennenbaum.

The iteration theorem for countable supports of proper forcing is much more involved than the one for finite supports of ccc forcing.

Proper forcing of size  $\aleph_1$  (or with strong  $\aleph_2$ -cc) properties preserves cardinals (and cofinalities and stationary subsets of  $\omega_1$ ).

# Some facts about proper forcing

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Proper forcing cannot be iterated with finite supports in the sense of Solovay-Tennenbaum.

The iteration theorem for countable supports of proper forcing is much more involved than the one for finite supports of ccc forcing.

Proper forcing of size  $\aleph_1$  (or with strong  $\aleph_2$ -cc) properties preserves cardinals (and cofinalities and stationary subsets of  $\omega_1$ ).

The natural applications of proper forcing are therefore on  $\mathcal{P}(\omega_1)$ .

# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further:

# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further: invent new kind of supports for iteration and prove stronger and stronger axioms.



# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further: invent new kind of supports for iteration and prove stronger and stronger axioms.

Shelah (1987) developed iteration with revised countable supports and proved a corresponding forcing axiom, stronger than PFA.

# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further: invent new kind of supports for iteration and prove stronger and stronger axioms.

Shelah (1987) developed iteration with revised countable supports and proved a corresponding forcing axiom, stronger than PFA. Foreman, Magidor and Shelah (1988) proved that this is the end, in the sense that this is the

# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further: invent new kind of supports for iteration and prove stronger and stronger axioms.

Shelah (1987) developed iteration with revised countable supports and proved a corresponding forcing axiom, stronger than PFA. Foreman, Magidor and Shelah (1988) proved that this is the end, in the sense that this is the maximal axiom we can obtain in this way.

# How far can we play this game?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

We can now imagine how the game would go further: invent new kind of supports for iteration and prove stronger and stronger axioms.

Shelah (1987) developed iteration with revised countable supports and proved a corresponding forcing axiom, stronger than PFA. Foreman, Magidor and Shelah (1988) proved that this is the end, in the sense that this is the maximal axiom we can obtain in this way.

This is Martin's Maximum MM.

# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*.

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*. Since  $\text{MM}^{++}$  implies PFA, it implies that  $2^{\aleph_0} = \aleph_2$ .

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*. Since  $\text{MM}^{++}$  implies PFA, it implies that  $2^{\aleph_0} = \aleph_2$ .

$\text{MM}^{++}$  really looks a reasonable axiom, since it :

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*. Since  $\text{MM}^{++}$  implies PFA, it implies that  $2^{\aleph_0} = \aleph_2$ .

$\text{MM}^{++}$  really looks a reasonable axiom, since it :

- decides the value of  $2^{\aleph_0}$ ,

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# The Maximum for forcing

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*. Since  $\text{MM}^{++}$  implies PFA, it implies that  $2^{\aleph_0} = \aleph_2$ .

$\text{MM}^{++}$  really looks a reasonable axiom, since it :

- decides the value of  $2^{\aleph_0}$ ,
- can't be improved to another axiom of the same kind

# The Maximum for forcing

Les axiomes de forcing

Mirna Džamonja

In the work of Aspero and Schindler (2021), the question is of a technical variant of this axiom, called  $\text{MM}^{++}$ .

Matteo will explain it in his talk, but we can think of this as saying that the universe of sets satisfying  $\text{MM}^{++}$  is *saturated under reasonable forcing*. Since  $\text{MM}^{++}$  implies PFA, it implies that  $2^{\aleph_0} = \aleph_2$ .

$\text{MM}^{++}$  really looks a reasonable axiom, since it :

- decides the value of  $2^{\aleph_0}$ ,
- can't be improved to another axiom of the same kind
- and, modulo large cardinals, is consistent with the axioms of ZFC.

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

(\*)

In a totally different part of set theory, dealing with inner models and determinacy

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen:

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model.

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model. Woodin showed it consistent modulo large cardinals.

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model. Woodin showed it consistent modulo large cardinals.

The world of set theory thought that these two approaches did not have anything to do with each other, and even stated that they were “competitors”.

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model. Woodin showed it consistent modulo large cardinals.

The world of set theory thought that these two approaches did not have anything to do with each other, and even stated that they were “competitors”. The Aspero-Schindler paper totally changed that vision, and this is what Matteo will explain in his talk.

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model. Woodin showed it consistent modulo large cardinals.

The world of set theory thought that these two approaches did not have anything to do with each other, and even stated that they were “competitors”. The Aspero-Schindler paper totally changed that vision, and this is what Matteo will explain in his talk. I won't spill the beans.

(\*)

In a totally different part of set theory, dealing with inner models and determinacy Woodin came up with a different maximality principle (\*) (see his 1999 book on  $\mathbb{P}_{\max}$ ).

Matteo will say more, but one can see the book *Théorie des Ensembles*, by Dehornoy (2017) for an enthusiastic and knowledgable explanation from the scratch.

(\*) also says that the universe of sets is maximal, but in a very different way. It says that the theory of  $\mathcal{P}(\omega_1)$  is frozen: whatever can be reasonably (a  $\Pi_2$  sentence over  $H(\omega_2)$  with appropriate predicates) forced about it over a model of (\*), is already true in the model. Woodin showed it consistent modulo large cardinals.

The world of set theory thought that these two approaches did not have anything to do with each other, and even stated that they were “competitors”. The Aspero-Schindler paper totally changed that vision, and this is what Matteo will explain in his talk. I won’t spill the beans. Let’s talk about something else ...

# Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ .

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger



## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $\leq \kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$ -cc (Baumgartner, Shelah 1984)

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$ -cc (Baumgartner, Shelah 1984)  $<$   $\kappa$ -directed completeness or similar and

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$ -cc (Baumgartner, Shelah 1984)  $<$   $\kappa$ -directed completeness or similar and some sort of “well met property” :

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$ -cc (Baumgartner, Shelah 1984)  $<$   $\kappa$ -directed completeness or similar and some sort of “well met property” : every two compatible conditions have a lub.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$ -cc (Baumgartner, Shelah 1984)  $<$   $\kappa$ -directed completeness or similar and some sort of “well met property” : every two compatible conditions have a lub.

There is no, at least no popular, analogue of properness for  $\omega_2$ .

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $<$   $\kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+$  - cc (Baumgartner, Shelah 1984)  $<$   $\kappa$ -directed completeness or similar and some sort of “well met property” : every two compatible conditions have a lub.

There is no, at least no popular, analogue of properness for  $\omega_2$ .

Solution, for adding an object \*once\* (no iteration) is sometimes to use finite conditions and Todorčević’s method of models as side conditions.

## Above $\omega_1$

It turns out that naive analogues of MA \*do not\* work with  $\omega_2$ . For example, the iteration of  $\kappa^+$ -cc  $< \kappa$ -closed forcing does not have to be  $\kappa^+$ -cc (various examples, a known one by Shelah).

To generalize MA to  $\kappa^+$  with  $\kappa^{<\kappa} = \kappa$  we need to assume a strong form of  $\kappa^+ - cc$  (Baumgartner, Shelah 1984)  $< \kappa$ -directed completeness or similar and some sort of “well met property” : every two compatible conditions have a lub.

There is no, at least no popular, analogue of properness for  $\omega_2$ .

Solution, for adding an object \*once\* (no iteration) is sometimes to use finite conditions and Todorčević’s method of models as side conditions. Several results, in chronological order: Baumgartner-Shelah, Todorčević, Koszmider, Mitchell, Dolinar -Džamonja.



# Two kinds of Models as Side Conditions

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Two kinds of Models as Side Conditions

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions. He obtained a new proof of the consistency of PFA.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Two kinds of Models as Side Conditions

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions. He obtained a new proof of the consistency of PFA. Veličković-Venturi showed that this method subsumes all of the above results.

# Two kinds of Models as Side Conditions

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions. He obtained a new proof of the consistency of PFA. Veličković-Venturi showed that this method subsumes all of the above results.

Neeman's method is quite a revolution in the theory of forcing.

# Two kinds of Models as Side Conditions

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions. He obtained a new proof of the consistency of PFA. Veličković-Venturi showed that this method subsumes all of the above results.

Neeman's method is quite a revolution in the theory of forcing. Important developments are happening in this field, including the Veličković school here in Paris.

# Two kinds of Models as Side Conditions

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Neeman (2014) developed a new way to iterate proper forcing using *finite support* and *two kinds of models* as side conditions. He obtained a new proof of the consistency of PFA. Veličković-Venturi showed that this method subsumes all of the above results.

Neeman's method is quite a revolution in the theory of forcing. Important developments are happening in this field, including the Veličković school here in Paris. A space to watch !

# How about singular cardinals, like $\aleph_\omega$ ?

Forcing and singular cardinals do not really match.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# How about singular cardinals, like $\aleph_\omega$ ?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Forcing and singular cardinals do not really match.

## Theorem

*(Shelah 1980s) If  $2^{\aleph_n} < \aleph_\omega$  for all  $n < \omega$ , then  $2^{\aleph_\omega} < \aleph_{\omega_4}$ .*



# How about singular cardinals, like $\aleph_\omega$ ?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Forcing and singular cardinals do not really match.

## Theorem

*(Shelah 1980s) If  $2^{\aleph_n} < \aleph_\omega$  for all  $n < \omega$ , then  $2^{\aleph_\omega} < \aleph_{\omega_4}$ .*

In a strong sense, this is the final word. Why?

# How about singular cardinals, like $\aleph_\omega$ ?

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Forcing and singular cardinals do not really match.

## Theorem

*(Shelah 1980s) If  $2^{\aleph_n} < \aleph_\omega$  for all  $n < \omega$ , then  $2^{\aleph_\omega} < \aleph_{\omega_4}$ .*

In a strong sense, this is the final word. Why?

Because we cannot really monkey around (a famous expression by Kunen) with the powers of singular cardinals!

# Successors of singular cardinals, like $\aleph_\omega^+$

Well, these ones have some hope

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Successors of singular cardinals, like $\aleph_\omega^+$

Well, these ones have some hope but we (provably, by Jensen's Covering Lemma) need to mix forcing and large cardinals.

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

# Successors of singular cardinals, like $\aleph_\omega^+$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Well, these ones have some hope but we (provably, by Jensen's Covering Lemma) need to mix forcing and large cardinals.

There are no forcing axioms known, but some reasonable forcing frameworks.

# Successors of singular cardinals, like $\aleph_\omega^+$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Well, these ones have some hope but we (provably, by Jensen's Covering Lemma) need to mix forcing and large cardinals.

There are no forcing axioms known, but some reasonable forcing frameworks. Started by Dž. and Shelah (2005) and developed in various papers by combinations of authors Cummings, Dž., Magidor, Morgan, Poor and Shelah.

# Successors of singular cardinals, like $\aleph_\omega^+$

Les axiomes de forcing

Mirna Džamonja

Introduction and MA

Iterating forcing

PFA

And now for something completely different

How about  $\omega_2$ , or something larger

Well, these ones have some hope but we (provably, by Jensen's Covering Lemma) need to mix forcing and large cardinals.

There are no forcing axioms known, but some reasonable forcing frameworks. Started by Dž. and Shelah (2005) and developed in various papers by combinations of authors Cummings, Dž., Magidor, Morgan, Poor and Shelah. Hopefully another space to watch.